0830- 0

UTILITY PATENT APPLICATION TRANSMITTAL

Our Docket No.: **6871.01**	Date: August 29, 2001

First Named Inventor: James R. Hornsby

Title: **BUBBLE MAKING AMUSEMENT DEVICE**

Express Mail No.: EL 115 561 747 US

ADDRESS TO:
Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

APPLICATION ELEMENTS

1. ☒ Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
3. ☒ Specification   Total Pages: **17**
   - ☒ Descriptive title of the invention
   - ☒ Cross References to Related Applications
   - ☐ Statement Regarding Fed. Sponsored R&D
   - ☐ Reference to Microfiche Appendix
   - ☒ Background of the Invention
   - ☒ Brief Summary of the Invention
   - ☒ Brief Description of the Drawings
   - ☒ Detailed Description
   - ☒ Claims
   - ☒ Abstract of the Disclosure
4. ☒ Drawings (35 U.S.C. 113)   Total Sheets: **5**
5. ☒ Oath or Declaration Total Pages: **3**
   - a. ☒ Newly Executed (original or copy)
   - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))
   (for continuation/divisional with Box 19 completed)
     - I. ☐ DELETION OF INVENTOR(S)
     Signed statement attached deleting inventor(s) named in the prior application (see 37 C.F.R. 1.63(d)(2) and 1.33(b))
6. ☒ Application Data Sheet (37 C.F.R. 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (*If applicable, all necessary*)
   - a. ☐ Computer Readable Form (CRF)
   - b. ☐ Specification Sequence Listing on:
     - i. ☐ CD-ROM or CD-R (2 copies); or
     - ii. ☐ paper
   - c. ☐ Statement verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10. ☒ Assignment Papers (cover sheet & document(s))
11. ☒ 37 C.F.R. 3.73(b) Submission
12. ☒ Power of Attorney
13. ☐ English Translation Document (*if applicable*)
14. ☐ Information Disclosure Statement (with Copies of Citations as necessary)
15. ☐ Preliminary Amendment   Total Pages:
16. ☒ Return Receipt Postcard (*Should be specifically itemized*)
17. ☐ Certified Copy of Priority document(s) (*If foreign priority is claimed*)
18. ☒ Other: Check No. 885612 in the amount of $413.00

19. **If a CONTINUING APPLICATION,** *check appropriate box and supply the requisite information:*
   ☐ Continuation   ☐ Division   ☐ Continuation-in-part (CIP)   of prior Application No.:

For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference.

CORRESPONDENCE ADDRESS

ATTY NAME	David E. Bruhn, Esq. Reg. No. 36,762	SIGNATURE:	DATE: August 29, 2001
ADDRESS	DORSEY & WHITNEY LLP Pillsbury Center South 220 South Sixth Street Minneapolis, MN 55402	Customer Number: 25763	
TELEPHONE	612-340-6317		
FAX	612-340-8856		

APPLICATION FEE TRANSMITTAL SHEET (FOR FY 2001)

	Complete if Known
Application No.	Unknown
Filing Date	Herewith
First Named Inventor	James R. Hornsby
Group Art Unit	Unknown
Examiner Name	Unknown
Atty. Docket Number	6871.01

METHOD OF PAYMENT (Check One)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
Deposit Account No.: 04-1420
Deposit Account Name: DORSEY & WHITNEY LLP

☒ Charge any additional fee required under 37 C.F.R. 1.16 and 1.17
☒ Applicant claims small entity status (see 37 C.F.R. 1.27)

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description
101	710	201	355	☒ Utility Filing Fee
106	320	206	160	☐ Design Filing Fee
108	710	208	355	☐ Reissue Filing Fee
114	150	214	75	☐ Prov. Filing Fee

Subtotal (1) $355.00

2. EXTRA CLAIM FEES

	Number Claims		Prior		Extra		Fee from Below		Fee Paid
Total	22	-	20	=	2	x	$9.00	=	$18.00
Indep.	3	-	3	=	0	x	$40.00	=	$0.00
Multiple Dependent Claims						x		=	

Subtotal (2) $18.00

Large Entity		Small Entity		
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent Claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee paid
105	130	205	65	Surcharge - Late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For Filing a Request for Reexamination	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	280	945	Extension for reply within fifth month	
120	310	220	155	Filing a brief in support of an appeal	
121	260	270	135	Request for oral hearing	
148	110	248	55	Terminal Disclaimer Fee	
140	110	240	55	Petition to revive – unavoidable	
141	1,240	241	620	Petition to revive – unintentional	
142	1,240	242	620	Utility/Reissue issue fee (inc. advance copies)	
143	440	243	220	Design issue fee (inc. advance copies)	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of IDS	
581	40	81	40	Recording each patent assignment per property (times number of properties)	$40.00
179	710	279	355	Request for Continued Examination (RCE)	

Other fee (specify)

Subtotal (3) $40.00

T tal Am unt of Payment: $413.00

Submitted by:

Name: David E. Bruhn, Esq.	Reg. No. 36,762	Telephone: (612) 340-6317
Signature:		Date: August 29, 2001

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)	Docket No.
Applicant(s): James R. Hornsby et al.	6871.01

Serial No. Not Yet Known	Filing Date Herewith	Examiner Not Yet Known	Group Art Unit Not Yet Known

Invention: **BUBBLE MAKING AMUSEMENT DEVICE**

I hereby certify that this   **Spec; Drwgs; Check #885612; Appln Trans; Appln Data Sheet; Assignmnt; Decl; POA**
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on   **29 August 2001**
                              (Date)

                              Lia Swope
                     (Typed or Printed Name of Person Mailing Correspondence)

                     (Signature of Person Mailing Correspondence)

                              EL 115 651 747 US
                     ("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	James R. Hornsby		
Appln. No.:	Not Yet Known		
Filing Date:	Herewith	Examiner:	Not Yet Known
Title:	**BUBBLE MAKING AMUSEMENT DEVICE**	Group Art Unit:	Not Yet Known

SUBMISSION UNDER 37 C.F.R. § 3.73(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Trendmasters, Inc., a Missouri corporation, is an owner of the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above, a copy of which is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: To:
   The document was recorded in the U.S. Patent and Trademark Office at Reel ,
   Frame , or a copy of which is attached.

2. From: To:
   The document was recorded in the U.S. Patent and Trademark Office at Reel ,
   Frame , or a copy of which is attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of **Trendmasters, Inc.**

Dated: _____

Signature: _____

Name: Michael L. Wolf
Title: Assistant Secretary